

12:17-01

**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate  
(check, if applicable)

JC678 U.S. PTO  
10/26/01

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

**Attorney Docket No. 9976-11US (OB0027US)**  
**First Named Inventor: Nobuhito MATSUSHIRO**  
**Express Mail Label No. EL916935527US**  
**Total Pages of Transmittal Form: 2**

JC826 U.S. PTO  
10/016423  
10/26/01

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**METHOD OF DERIVING SPECTRAL SENSITIVITY CHARACTERISTICS FOR COLOR  
IMAGE INPUTTING DEVICE AND APPARATUS FOR SAME**

which is:

an ☒ Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. filed.

Anticipated Group/Art Unit: or Class, Subclass.

☐ This non-provisional patent application is based on Provisional Patent Application No. , filed

Enclosed are:

- ☒ Specification (including Abstract) and claims: 38 pages.
- ☐ Application Data Sheet.
- ☒ Newly executed Declaration (original).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 3 sheets of drawings (formal).
- ☐ Transmittal Letter Accompanying Submission of Compact Disc in Accordance with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☒ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: Oki Data Corporation
- ☒ Certified copy(ies) of Japanese Application No(s). 2000-337841 filed November 6, 2000 are filed:
  - ☒ herewith or ☐ in prior application.
- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.
- ☐ Preliminary Amendment.
- ☐ Information Disclosure Statement, PTO/SB/08A, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)

☐ Other:

The filing fee is calculated as follows:

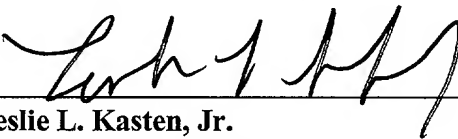
			SMALL ENTITY		LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$370		BASIC FEE: \$740	
Total	9-20 =		X9	\$	OR X18	\$
Independent	2- 3=		X42	\$	OR X84	\$
[.] Multiple Dependent Claims Present			\$140	\$	OR \$280	\$
			<b>TOTAL</b>	<b>\$</b>	OR <b>TOTAL</b>	<b>\$740</b>

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- ☒ A check in the amount of \$740.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-1017 (Billing No. 209976.0011) as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$\_\_\_\_\_ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS:**

October 25, 2001  
(Date)

By:



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LLK:jf:Enclosures